# Progressive attenuation of the longitudinal kinetics in the common carotid artery: preliminary *in vivo* assessment

Guillaume Zahnd<sup>a,\*</sup>, Simone Balocco<sup>b,c</sup>, André Sérusclat<sup>d</sup>, Philippe Moulin<sup>e,f</sup>, Maciej Orkisz<sup>g</sup>, Didier Vray<sup>g</sup>

 <sup>a</sup>Biomedical Imaging Group Rotterdam, Departments of Radiology and Medical Informatics, Erasmus MC, Rotterdam, The Netherlands
 <sup>b</sup>Department of Applied Mathematics and Analysis, University of Barcelona, Barcelona, Spain <sup>c</sup>Computer Vision Center, Barcelona, Spain <sup>d</sup>Department of Radiology, Louis Pradel Hospital, Lyon, France
 <sup>e</sup>Department of Endocrinology, Louis Pradel Hospital, Hospices Civils de Lyon, Université Lyon 1, Lyon, France
 <sup>f</sup>INSERM UMR 1060, Lyon, France
 <sup>g</sup>Université de Lyon, CREATIS; CNRS UMR 5220; INSERM U1044; INSA-Lyon; Université Lyon 1; France

# Abstract

Longitudinal kinetics (LOKI) of the arterial wall consists in the shearing motion of the intima-media complex over the adventitia layer in the direction parallel to the blood flow during the cardiac cycle. The aim of this study is to investigate the local variability of LOKI amplitude along the length of the vessel. Using a previously validated motion-estimation framework, 35 *in vivo* longitudinal Bmode ultrasound cine-loops of healthy common carotid arteries were analyzed. Results demonstrate that LOKI amplitude is progressively attenuated along the length of the artery, as it is larger in regions located on the proximal side of the image (*i.e.* toward the heart), and smaller in regions located on the distal side

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<sup>\*</sup>Corresponding Author: g.zahnd@erasmusmc.nl

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of the image (*i.e.* toward the head), with an average attenuation coefficient of  $-2.5 \pm 2.0 \% \cdot \text{mm}^{-1}$ . Reported for the first time in this study, this phenomenon is likely to be of great importance for improved understanding of atherosclerosis mechanisms, and has potential to constitute a novel index of arterial stiffness. *Keywords:* Longitudinal kinetics, Ultrasound imaging, Common carotid artery, Motion tracking, Atherosclerosis, Arterial stiffness

# 1 Introduction

Cardiovascular diseases represent the leading cause of human mortality and 2 morbidity (WHO, 2013). To assess cardiovascular risk, the common carotid artery 3 (CCA) has been extensively analyzed in vivo using B-mode ultrasound (US) imag-4 ing. A few pioneering studies have investigated the deformation of the arterial wall 5 tissues in the direction parallel to the blood flow during the cardiac cycle (Persson 6 et al., 2003). This phenomenon, hereafter referred to as "longitudinal kinetics" 7 (LOKI), corresponds to the cyclic shearing motion of the intima-media complex 8 with respect to the tunica adventitia (Figure 1). 9

Recent findings contributed to elucidate the association between LOKI and 10 vascular pathophysiology. Namely, LOKI has been reported to induce a wall shear 11 strain (WSS) reflecting arterial stiffness (Cinthio et al., 2006; Nilsson et al., 2010; 12 Zahnd et al., 2011b; Idzenga et al., 2012b), and has been associated with the 13 presence of cardiovascular risk factors (Ahlgren et al., 2009; Zahnd et al., 2011a, 14 2012; Ahlgren et al., 2012) as well as with a therosclerotic plaque burden (Sved-15 lund and Gan, 2011; Soleimani et al., 2012; Gastounioti et al., 2013). Also, it 16 was reported to predict 1-year cardiovascular outcome in patients with suspected 17 coronary artery disease (Svedlund et al., 2011). These findings strongly suggest 18

that LOKI constitutes a solid candidate to become a novel valuable image-based
biomarker for improved cardiovascular risk prediction.

Various advanced techniques have been proposed to evaluate LOKI in B-21 mode US cine-loops. An echo-tracking approach, based on a careful imaging 22 protocol to track a tiny region of interest (ROI) encompassing a well contrasted 23 speckle pattern, provided a detailed characterization of LOKI (Cinthio et al., 2005). 24 Towards accurate LOKI evaluation in routinely-acquired data, robust motion-25 tracking approaches have been proposed, based on Kalman filtering (Gastouni-26 oti et al., 2011; Zahnd et al., 2013), weighted least-squares optical flow (Golemati 27 et al., 2012), and finite impulse response filtering (Gastounioti et al., 2013). Radio-28 frequency US was also used to assess the wall shear strain by means of a coarse-29 to-fine cross-correlation based strain algorithm (Idzenga et al., 2012a). 30

One of the main parameters derived from LOKI analysis is its maximal peak-31 to-peak amplitude  $\Delta X$ , (*i.e.* the total amplitude of the longitudinal motion of 32 the intima and media layers along the direction parallel to the blood flow), which 33 is significantly reduced in patients with presumably stiffer arteries (Zahnd et al., 34 2011a; Svedlund et al., 2011; Zahnd et al., 2012). Yet, the influence of the loca-35 tion of the assessed ROI onto the resulting motion amplitude  $\Delta X$  is still unclear. 36 Indeed, it has previously been reported by our team that different resulting tra-37 jectories could be observed when tracking points in different regions (*i.e.* on the 38 right, center, and left side of the image) (Zahnd et al., 2011a). 39

The aim of this pilot study is to characterize such local variability of LOKI amplitude  $\Delta X$  in longitudinal B-mode US cine-loops of *in vivo* healthy CCA. For each cine-loop analyzed, the motion of the far wall at different locations within the intima-media complex is evaluated on a collection of points by means of ro-

bust speckle tracking, using a previously validated framework (Zahnd et al., 2013). 44 Our rationale is the following: mechanical LOKI-inducing forces are likely to be 45 progressively dissipated along the propagation in the artery, reflecting the elas-46 tic damping role of the circulatory system. Therefore, it is expected that  $\Delta X$  is 47 systematically lower in ROIs located on the "distal" side of the image (i.e. to-48 ward the head) compared to ROIs located on the "proximal" side (i.e. toward 49 the heart). To the best of our knowledge, this phenomenon has not previously be 50 observed in vivo. 51

#### 52 Methods

#### 53 Study population

Fifty-seven healthy volunteers (mean age:  $37.9 \pm 14.1$  y.o., 24 males) have been involved in this study. All participants were cardiovascular risk factor-free (tobacco use, hypercholesterolemia, diabetes, hypertension, or particular family history), as assessed by an oral questionnaire. Written informed consent was obtained from all participants. The study fulfilled the requirements of our institutional review board and the ethics committee.

## 60 Acquisition of carotid artery ultrasound sequences

The image acquisition protocol has been previously described (Zahnd et al., 2013). Briefly, longitudinal B-mode US cine-loops of the left CCA were obtained using a clinical scanner (Antares, Siemens Erlangen), equipped with a 7.5- to 10-MHz linear array transducer. Acquisition was performed by an expert physician during at least two full cardiac cycles, with the probe centered approximately 2 cm from the carotid bifurcation. The probe was systematically oriented in such way that the left border of the image corresponded to the distal side, and the right border to the proximal side, as pictured in Figure 1a. To avoid out-of-plane motion artifacts, specific care was taken to position the probe in the longitudinal plane of the vessel. The frame rate was 26 fps, and the width of the acquired US image was 26 mm, with a pixel size in both longitudinal and radial directions was  $30 \ \mu m$ .

## <sup>73</sup> Quantification of LOKI amplitude $\Delta X$

For each participant, a collection of salient points located within the intima-74 media complex of the far wall were manually selected to be tracked (Fig. 2a). Such 75 salient echo scatterers are defined as well contrasted speckle patterns that remain 76 clearly perceptible during the entire cine-loop (Cinthio et al., 2005; Zahnd et al., 77 2013). In order to assess the local variability of LOKI, motion tracking has to 78 be performed on at least two different sites in the image. Therefore, participants 79 presenting either none or only one salient echo were rejected from the study. For 80 all remaining participants, the bi-dimensional (2D, *i.e.* radial and longitudinal) 81 temporal trajectory of each salient echo during the entire cine-loop was extracted, 82 using a framework previously developed and validated by our team (Zahnd et al., 83 2013). Finally, LOKI amplitude  $\Delta X$  was measured from the resulting trajectory 84 of each tracked point. The amplitude  $\Delta X$  was determined as the peak-to-peak 85 amplitude of the longitudinal component of the 2D trajectory, averaged over two 86 cardiac cycles (Fig. 2b). 87

Attenuation coefficient Z

We define the attenuation coefficient Z as the slope of the linear regression that fits, for a given cine-loop, the set of points whose x-coordinate is the distance X from the right border of the image, and whose y-coordinate is the corresponding measured amplitude  $\Delta X$  (Fig. 2c).

## 93 Statistical analysis

The Pearson's correlation coefficient R was used to evaluate the correlation between LOKI amplitude  $\Delta X$  and the attenuation coefficient Z. Statistical analysis was performed using MATLAB (MATLAB 7.13, The MathWorks Inc., Natick, MA, USA, 2011).

#### 98 Results

For each participant, at least one salient point could be observed. Twenty-99 two participants were rejected from the study, as they presented only a single 100 salient point to be tracked. In the remaining 35 participants, the average number 101 of tracked points was  $3.7 \pm 1.5$  (the number of cine-loops presenting 2, 3, 4, 5, 102 6, and 7 measurement points was 9, 9, 7, 5, 3, and 2, respectively). For those 103 analyzed participants, the average width of the total assessed region, defined as 104 the distance between the two extreme proximal and distal measurement points, 105 was  $15.3 \pm 5.4$  mm (range: [3.2, 25.1] mm), corresponding to  $56 \pm 19$  % of the 106 entire image width, which was 26 mm. Within the analyzed region, the average 107 distance between two neighbor measurement points was  $5.3 \pm 3.6$  mm (range: 108 [1.0, 18.7] mm). 109

The average LOKI amplitude  $\Delta X$  of all individual tracked points was 722 ± 284  $\mu$ m. As the number of tracked points is subject-dependent, we also define  $\widehat{\Delta X}$ as the mean value of all  $\Delta X$  measurements for a given participant. The average amplitude  $\widehat{\Delta X}$  for all participants was 717 ± 260  $\mu$ m. For the majority of the analyzed subjects (*i.e.* 33 out of 35), we made the following observation: LOKI amplitude  $\Delta X$  is systematically larger for points located on the proximal side of the image, and smaller for points located on the distal side of the image, as depicted in Figure 2a,b.

Examples of the attenuation coefficient Z estimated in different participants 118 are displayed in Figure 3. Calculating the average attenuation coefficient Z of all 119 35 participants, we confirmed the presence of a progressive attenuation of LOKI 120 amplitude towards the distal direction, with an average Z value of  $-17 \pm 16 \ \mu m$ . 121  $\mathrm{mm}^{-1}$  (range [-91, 17]  $\mu\mathrm{m}\cdot\mathrm{mm}^{-1}$ , as displayed in Figure 4. This attenuation can 122 also be quantified as follow: within a given CCA segment, the motion between two 123 points separated by 1 mm undergoes a decrease corresponding to  $-2.5 \pm 2.0$  % 124 of the average LOKI amplitude  $\Delta \hat{X}$  of the vessel. Arteries with a larger LOKI 125 amplitude were not found to also undergo a stronger attenuation, as no significant 126 correlation could be observed between the mean LOKI amplitude per subject  $\Delta X$ 127 and the corresponding attenuation coefficient Z (R=0.22). 128

The average dispersion error with respect to the linear regression model (*i.e.* the 129 mean absolute vertical distance between the estimated points and the regression 130 line) as a function of the number of tracked points is displayed in Table 1. The 131 overall average absolute error of fitting between all the measurement points and 132 the linear regression model was  $34 \pm 36 \ \mu m$ . By definition, the dispersion error 133 generated by linear regression is null in cine-loops with exactly 2 tracked points. 134 For this reason, we also calculated the average absolute error of fitting in cine-loops 135 with at least 3 measurement points, which was  $39\pm36 \ \mu m$ . Such dispersion remains 136 small in comparison with the average LOKI amplitude  $\Delta \hat{X}$  (*i.e.* 717 ± 260  $\mu$ m), as 137 depicted in Figure 3. The dispersion was also nearly two times smaller than the 138

tracking accuracy of the present framework in the longitudinal direction, previously validated in (Zahnd et al., 2013). Indeed, with respect to the reference that was generated from the manual tracings of three experienced observers, the average absolute error of the present framework for  $\Delta X$  quantification was 74 ± 68  $\mu$ m, while the inter- and intra-observer variability was 79 ± 103  $\mu$ m and 62 ± 103  $\mu$ m, respectively.

#### 145 Discussion

The principal finding of this study is the presence of a systematic local variabil-146 ity of LOKI amplitude  $\Delta X$ , and more specifically a decreasing trend in  $\Delta X$  within 147 the imaged region (Fig. 2). That is to say, intima and media tissues located on 148 the proximal side of the image (*i.e.* towards the heart, right border of the image) 149 present an overall larger motion amplitude than tissues located on the distal side 150 (*i.e.* towards the head, left border of the image). This breakthrough suggests that 151 LOKI-inducing forces are progressively attenuated as they further propagate from 152 the heart to peripheral organs. To the best of our knowledge, the present study is 153 the first to report this finding. 154

LOKI amplitude  $\Delta X$  was assessed in vivo at several (at least two) locations 155 of the CCA in 35 healthy volunteers. Fitting the measured amplitudes with lin-156 ear regression, we observed an average attenuation coefficient Z equal to  $-17 \pm$ 157 16  $\mu$ m/mm (Fig. 4), which corroborates the presence of a decreasing trend along 158 the arterial length. We observed an increase of the fitting error with the number 159 of measurement points. This can be explained by the fact that a larger amount 160 of roughly aligned points would increase the average distance between each point 161 and its vertical projection on the linear regression. 162

To perform the measurements, we used a Kalman-based tracking framework, previously developed by our team and validated on a dataset of 82 subjects (Zahnd et al., 2013). The average absolute dispersion error of the measured amplitudes  $\Delta X$ against a linear regression model was roughly two times smaller than the previously validated *i*) tracking accuracy of the present framework, and *ii*) inter- and intraobserver variability. This strengthens the validity of the observed negative trend in  $\Delta X$  across the width of the imaged regions.

This study implies a major caveat that should be taken into account when inves-170 tigating LOKI in future work. This recommendation concerns the quantification 171 of LOKI amplitude  $\Delta X$  (*i.e.* the quantity of tissue deformation in the longitudinal 172 direction) in a given subject. This parameter is relevant, as previous studies have 173 demonstrated a negative association between  $\Delta X$  and the presence of cardiovas-174 cular risk factors (Zahnd et al., 2011a; Svedlund et al., 2011; Zahnd et al., 2012; 175 Ahlgren et al., 2012; Golemati et al., 2012; Gastounioti et al., 2013). Due to the 176 attenuation phenomenon described in this study, LOKI amplitude  $\Delta X$  is depen-177 dent on the initial location of the tracked point, and is thus subject to variability 178 within the imaged region. Therefore, to thoroughly assess  $\Delta X$  in a cine-loop, it 179 would be more insightful to systematically evaluate LOKI at a calibrated location. 180 From our experience however, a standardized horizontal reference coordinate can-181 not be fixed to determine the point to be tracked for the entire dataset, due to 182 a variability in image local quality between different cine-loops. An alternative 183 solution could be to evaluate LOKI in a set of different locations where the image 184 quality is optimal, in the aim to determine the average value  $\Delta X$ . 185

The attenuation phenomenon observed in this study can be explained by a number of factors contributing to arterial fixation *via* tethering to surrounding tissues:

i) side branches, ii) perivascular connective tissues, iii) vessel three-dimensional 188 tortuosity (Nichols and O'Rourke, 2005). Furthermore, LOKI attenuation can 189 also be explained by the fact that arterial tissues have viscoelastic properties and 190 play a cushioning function to dissipate high blood pressure provoked by pulsatile 191 flow (Benetos et al., 1997). Such damping properties are likely to be associated 192 with wall shear strain, which plays a fundamental role in vasa vasorum circulation 193 as well as endothelial function (Cunningham and Gotlieb, 2004; Chatzizisis et al., 194 2007). 195

The question of characterizing the actual forces at the origin of LOKI is still 196 unanswered at the moment. Blood friction, creating a tangential force at the 197 surface of the wall, is unlikely to constitute a significant contribution to LOKI. 198 Instead, it is hypothesized that LOKI is induced by a large retrograde wall motion 199 (*i.e.* in the direction opposite to blood flow), provoked by the apical traction of the 200 aortic valve annulus in late systole (Cinthio et al., 2006). The subsequent antegrade 201 motion (*i.e.* in the same direction as the blood flow) is likely to be provoked by 202 the radial systolic stretching caused by the blood volume influx. The amplitude 203 of the pulling force corresponding to the aortic traction, referred to as tricuspid 204 annular plane systolic excursion, was measured in echocardiography and reported 205 to be superior to 17 mm in healthy subjects (Tamborini et al., 2007; Rudski et al., 206 2010). Accordingly, as the average LOKI amplitude  $\Delta \hat{X}$  in the CCA was found 207 to correspond to  $717 \pm 260 \ \mu m$ , the initial pulling force is likely to be dissipated 208 along the way, which is confirmed by the results of this study. We formulate the 209 hypothesis that LOKI would eventually become null (viz.:  $\Delta X \approx 0$ ) at the point 210 where the internal carotid artery enters the skull via the carotid canal, through 211 the petrous portion of the temporal bone. 212

Although LOKI amplitude  $\Delta X$  is associated with cardiovascular risk factors (Zahnd 213 et al., 2011a; Svedlund et al., 2011; Zahnd et al., 2012; Ahlgren et al., 2012; 214 Golemati et al., 2012; Gastounioti et al., 2013), the actual clinical relevance of the 215 attenuation coefficient Z remains to be investigated. Since this pilot study involved 216 healthy middle-aged participants, it is still unclear whether the measured LOKI 217 attenuation coefficient Z reflects vascular health. Future studies, involving patients 218 at cardiovascular risk, are necessary to investigate the association between Z and 219 cardiovascular risk factors (e.g. diabetes, hypertension, metabolic syndrome, to-220 bacco use, age). Further work, focusing on the association between the attenuation 221 coefficient Z and surrogate markers of atherosclerosis (e.g. LOKI amplitude  $\Delta X$ , 222 intima-media thickness, cross-sectional distensibility, pulse wave velocity), would 223 determine whether Z actually constitutes a reliable and independent biomarker 224 of vascular health. Besides, in vivo measurement of the attenuation coefficient Z 225 would enable tissue stiffness to be quantified via a patient-specific biomechanical 226 model. 227

This study does present several limitations that open the field to multiple 228 perspectives. First, the present framework requires the presence of several (at least 229 two) bright echo scatterers in the intima-media complex, to accurately track the 230 wall motion during the cardiac cycle and quantify the attenuation coefficient Z. For 231 this reason, 22 subjects out of 57 were rejected from the study as they did not meet 232 this condition. Second, LOKI was approximated by considering the projection of 233 the motion along the horizontal axis. We made the assumption that, since the 234 curvature of the vessel is small in comparison to the amplitude of the wall motion, 235 the radial component of LOKI is negligible. A more accurate evaluation of LOKI 236 could be realized by taking into account the local curvature of the wall to assess the 237

actual tissue motion. This issue will be investigated in future work. Third, we have 238 made the approximation that the variation of  $\Delta X$  was linear within the imaged 239 region. However, the actual attenuation is most likely to be non-linear along 240 the total arterial tree. Further studies, involving data acquired both upstream 241 (*i.e.* aortic valve annulus) and downstream (*i.e.* carotid bulb, as well as internal and 242 external carotids), would provide valuable information about the local attenuation 243 coefficient Z along the vascular tree. Finally, we have focused on the analysis of 244 the left CCA, which is directly connected to the aorta. It would be insightful to 245 realize a comparison with results obtained on the right CCA, which is connected to 246 the aorta via the S-shaped brachiocephalic trunk. Accordingly, the initial pulling 247 force is likely to be further attenuated in the right CCA, and could potentially be 248 reflected by smaller LOKI amplitude  $\Delta X$  and attenuation coefficient Z. Extending 249 the scope of investigation to other main arteries (e.g. popliteal, humeral) would 250 also benefit to a more comprehensive analysis. 251

## 252 Conclusion

In this pilot study, we analyzed B-mode US cine-loops of healthy CCA to 253 assess the local variability of LOKI amplitude  $\Delta X$  (*i.e.* the quantity of tissue de-254 formation parallel to the blood flow) within the imaged region during the cardiac 255 cycle. We observed that LOKI amplitude  $\Delta X$  does undergo a progressive atten-256 uation along the arterial segment, namely  $\Delta X$  is larger on the proximal side of 257 the image, and smaller on the distal side, with an average attenuation coefficient 258 of  $-2.5 \pm 2.0 \ \% \cdot \text{mm}^{-1}$ . To the best of our knowledge, the observation of this 259 phenomenon *in vivo* has not previously been reported. We hypothesize that this 260 gradual decrease reflects a damping role in the circulatory system, and could po-261

tentially be associated with cardiovascular risk. Further studies are required toinvestigate this finding.

#### <sup>264</sup> Figure captions

Figure 1: Common carotid artery (CCA). (a) Longitudinal B-mode ultrasound image of a healthy CCA *in vivo*. The direction of the blood flow in the lumen is indicated by the white arrow. (b) Illustration of the longitudinal kinetics (LOKI), occurring within the concentric layers of the wall during the heart beat. Please note that the tissue motion obeys a multiphasic and bidirectional pattern, towards both retrograde and antegrade directions during the cardiac cycle.

Figure 2: Example of LOKI amplitude  $\Delta X$ , evaluated on a healthy subject. 272 (a) Location of three regions of interest (ROIs), encompassing the tracked 273 points within the intima-media complex of the far wall. The resulting LOKI 274 amplitude  $\Delta X$  during the cine-loop is represented by the double arrows. 275 (b) Longitudinal component of the estimated trajectory, for the left (dot-276 ted red), middle (dashed green), and right (solid blue) tracked points. The 277 peak-to-peak amplitude  $\Delta X$  of each tracked point is represented by the ver-278 tical bar of the corresponding color. (c) Representation of the attenuation 279 coefficient Z for this specific cine-loop, determined by linear regression (solid 280 line) of the three tracked points (circles). For each tracked point, the x-281 and y-coordinates correspond to the abscissa of the ROI in (a), and to the 282 estimated amplitude  $\Delta X$  in (b), respectively. 283

Figure 3: Examples of the attenuation coefficient Z in six participants, evaluated by means of linear regression (solid line) of the estimated LOKI amplitude  $\Delta X$  (circles) over the length of the imaged region. The x-axis is oriented accordingly to the blood flow direction, from the right border of the image (x = 0) towards the left border. The fitting error (err) is indicated, and the corresponding standard deviation is represented by the dashed lines. (a-e) Representative examples of a progressive attenuation of  $\Delta X$ . (f) Outlier result, presenting an increasing trend, which is likely to be due to an insufficient number of points and a relatively small distance between them.

Figure 4: Histogram representing the distribution of the attenuation coefficient Z for the 35 analyzed healthy subjects. A negative trend is clearly visible. Please note that the two outliers (Z=-91  $\mu$ m · mm<sup>-1</sup> and Z=17  $\mu$ m · mm<sup>-1</sup>, respectively) correspond to cine-loops where only two measurement points were available, thus leading to a potential estimation error.

Table 1: Average absolute error (err) of fitting between the measurement points and the linear regression model, as a function of the number of measurement points per cine-loops (N). Please note that the fitting error in those cine-loops with 2 measurement points is null by definition.

Ν	2	3	4	5	6	7
$\operatorname{err}(\mu \mathrm{m})$	$0\pm 0$	$22 \pm 14$	$23\pm25$	$47 \pm 34$	$58 \pm 44$	$70 \pm 43$



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